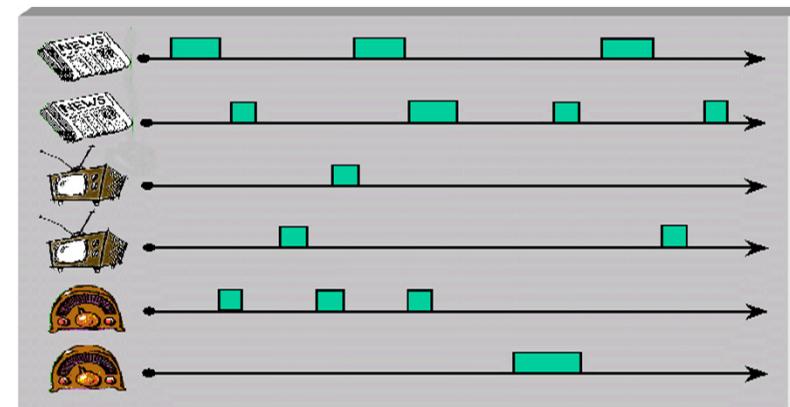


Information Retrieval and Extraction

Berlin Chen



(Picture from the [TREC](#) web site)

Objectives of this Course

- Elaborate on the fundamentals of information retrieval (IR), a almost *fifty-year-old* discipline
 - Indexing, search, relevance, classification, organization, storage, browsing, visualization, etc.
- Focus on prominent *computer algorithms* and *techniques* used in IR systems from a computer scientist's perspective
 - How to provide users with easy assess to information of interest
 - Rather than from a “librarian” perspective that put great emphasis on “*human-centered*” studies (e.g., user behaviors, psychology, etc.)
- Practical Issues on the Web
 - Crawling, retrieval, and ranking of Web documents
 - Electronic commerce; security, privacy, copy rights and pattern rights; multimedia and cross-language retrieval; digital libraries

Textbook and References

- Textbooks
 - R. Baeza-Yates and B. Ribeiro-Neto. ***Modern Information Retrieval: The Concepts and Technology behind Search (2nd Edition)***, ACM Press, 2011
 - Christopher D. Manning, Prabhakar Raghavan and Hinrich Schütze, ***Introduction to Information Retrieval***, Cambridge University Press, 2008
 - W. Bruce Croft, Donald Metzler, and Trevor Strohman, ***Search Engines: Information Retrieval in Practice***, Addison Wesley, 2009
- References
 - C.X. Zhai, ***Statistical Language Models for Information Retrieval*** (Synthesis Lectures Series on Human Language Technologies), Morgan & Claypool Publishers, 2008
 - W. B. Croft and J. Lafferty (Editors). ***Language Modeling for Information Retrieval***. Kluwer-Academic Publishers, July 2003
 - D. A. Grossman, O. Frieder, ***Information Retrieval: Algorithms and Heuristics***, Springer. 2004
 - I. H. Witten, A. Moffat, and T. C. Bell. ***Managing Gigabytes: Compressing and Indexing Documents and Images***. Morgan Kaufmann Publishing, 1999
 - C. Manning and H. Schütze. ***Foundations of Statistical Natural Language Processing***. MIT Press, 1999

Motivation (1/2)

- Information Hierarchy

- **Data**

- The raw material of information

- **Information**

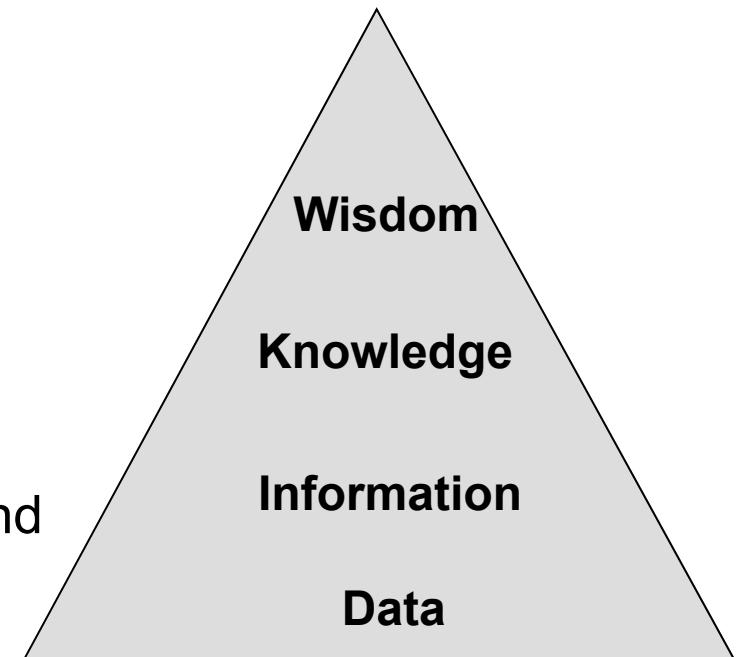
- Data organized and presented by someone

- **Knowledge**

- Information read, heard or seen and understood

- **Wisdom**

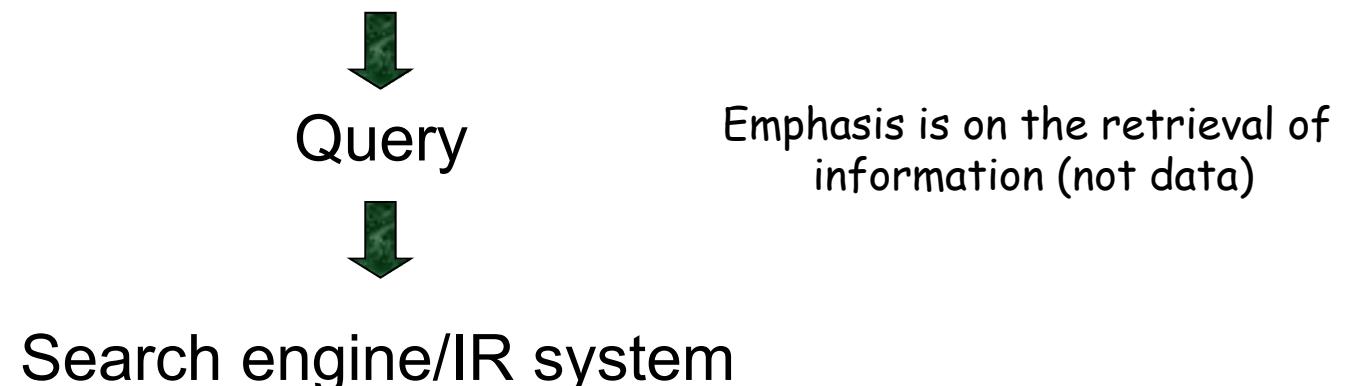
- Making appropriate use of distilled and integrated knowledge and understanding



- **Search** and **communication** (of information) are by far the most popular uses of the computer

Motivation (2/2)

- User information need
 - Find all docs containing information on college tennis teams which:
 - (1) are maintained by a USA university and
 - (2) participate in the NCAA tournament
 - (3) National ranking in last three years and contact information



Information Retrieval

- Information retrieval (IR) is the field concerned with the structure, analysis, or organization, searching and retrieval of information
 - Defined by Gerard Salton, a pioneer and leading figure in IR
- Handle **natural language text** (or free text) which is not always well structured and could be semantically ambiguous
- Focus is on the user information need
 - Information about a subject or topic
 - Semantics is frequently loose
 - Small errors are tolerated

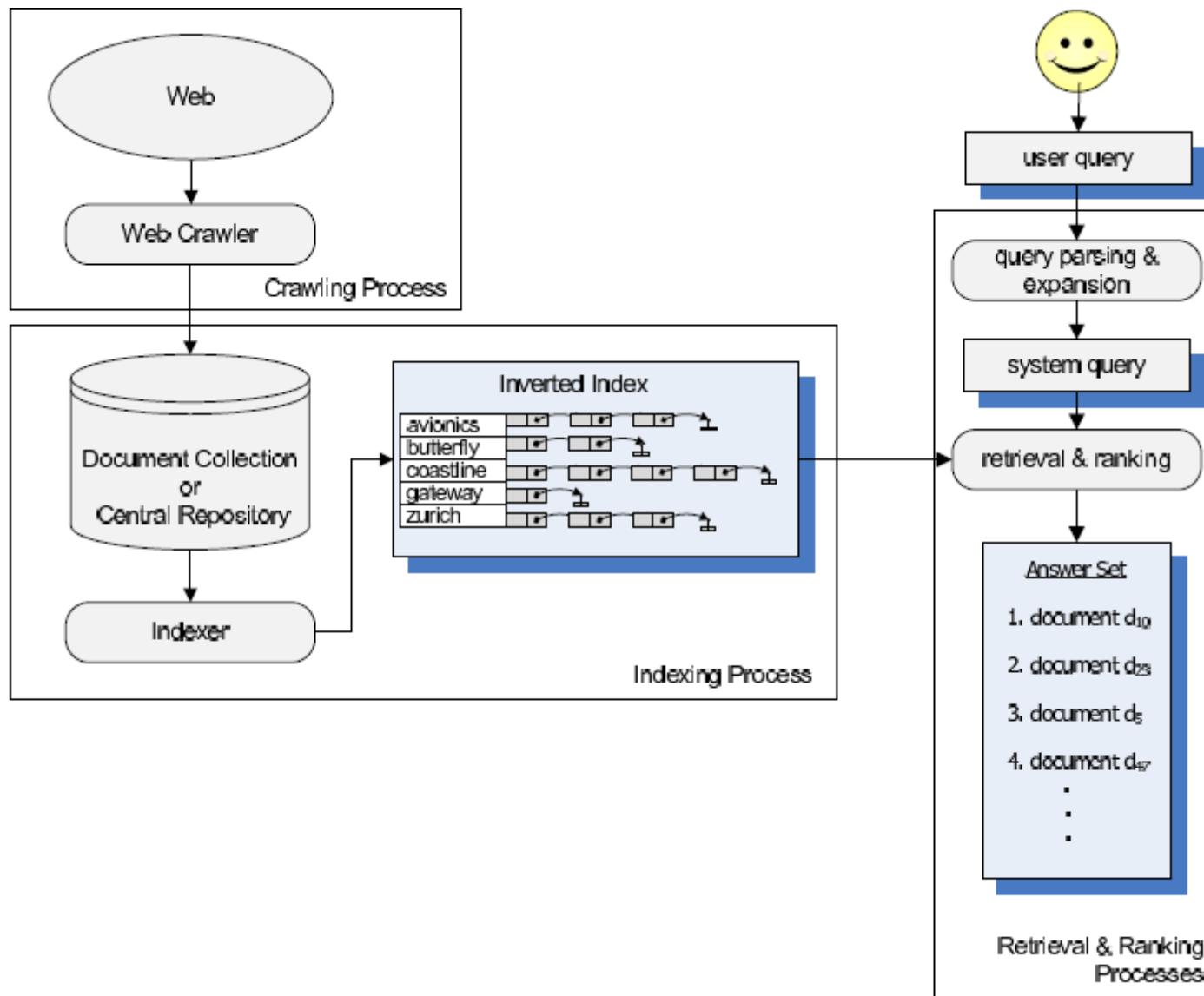
A user of an IR system is willing to accept documents that contain synonyms of the query terms in the result set, even when those documents do not contain any query terms.

Data Retrieval

- Determine which document of a collection contain the *keywords* in the user query
 - Such documents are regarded as database records, such as a bank account record or a flight reservation, consisting of structural elements such as fields or attributes (e.g., account number and current balance)
- Retrieve all objects (attributes) which satisfy clearly defined conditions in a regular expression or a relational algebra expression
 - Which documents contain a set of keywords (attributes) in some specific fields?
 - Well defined semantics & structures
 - A single erroneous object implies (total) failure!

Data retrieval does not solve the problem of retrieving information about a **subject or topic**.

IR Systems: Schematic Depiction



IR systems: Operations

- **Indexing:** assemble and interpret contents of information items (documents)
 - Most of the information in such documents is in the form of text which relatively unstructured
 - Efficient indexing is of much importance (**inverted indexes**)
- **Retrieval process:** generate a ranking that reflects relevance
 - A ranked list of documents returned according to a likelihood of relevance to the user
- Notion of **relevance** is most important
 - Relevance judgment
(using **clickthrough data?** how to interpret **clickthrough data** as an indicative of relevance in an unsupervised manner?)
- The other important issues
 - Vocabulary mismatch problems
 - Evaluations of retrieval performance

IR systems: Distinctions

- IR systems can also be distinguished by the scale at which they operate
 - *Web search* (containing billions (or even trillions) of documents)
 - *Enterprise, institutional, and domain-specific search*
 - *Personal (desktop) search*
 -

IR at the Center of the Stage

- IR in the last 30 years:
 - Modeling, classification, clustering, filtering
 - User interfaces and visualization
 - Systems and languages
- WWW environment (90~)
 - Universal repository of knowledge and culture
 - Decentralized
 - Without frontiers: free universal access (*freedom to publish*)
 - Hypertext (HTTP protocol and browsers by Tim Berners-Lee)
 - Lack of well-defined data model

Restrictions imposed by mass communication media companies and by natural geographical barriers were almost entirely removed by the invention of the Web! (*e-Publishing Era*)

Web Changed Search!

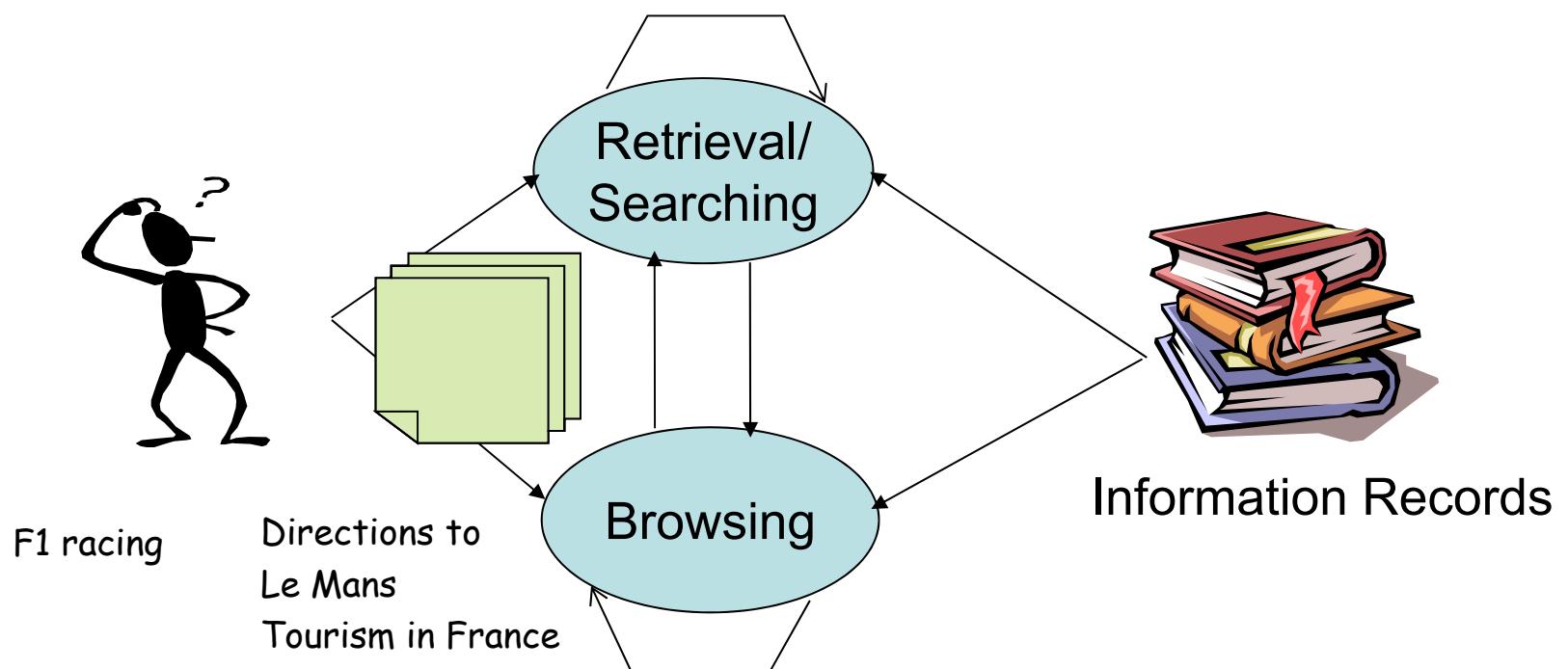
- Characteristics of document collection
 - Distributed nature => *crawling*
- The size of document collection
 - ~20 billion pages=> *performance* and *scalability* are big issues
- Relevance judgment in the face of the vast size of document collections
 - Hyperlinks and user clicks in documents => *clickthrough data*
- Going beyond seeking text information
 - E.g., price of a book, phone number of a hotel
=> *effective answers* to various types of information needs
- Web advertising and economic incentives
 - E-commerce, advertising <=> *Web spam*

IR Main Issues

- The effective retrieval of relevant information affected by
 - The user task
 - Retrieval/searching and browsing
 - Logical view of the documents
 - Full-text/Keyword-based (text) operations; Indexing

The User Task

- Translate the information need into a query in the language provided by the system
 - A set of words conveying the semantics of the information need
- Browse the retrieved documents

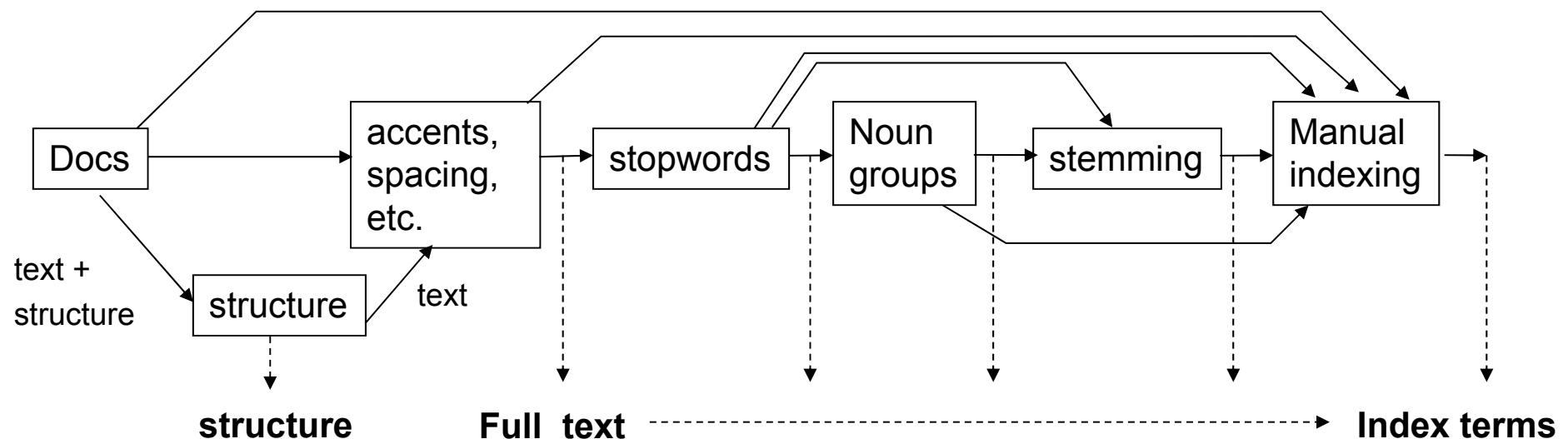


Logical View of the Documents (1/2)

- A full text view (representation)
 - Represent document by its whole set of words
 - Complete but higher computational cost
- A set of index terms by a human subject
 - Derived automatically or generated by a specialist
 - Concise but may poor
- An intermediate representation with feasible *text operations*

Logical View of the Documents (2/2)

- Text operations
 - Elimination of stop-words (e.g. articles, connectives, ...)
 - The use of stemming (e.g. tense, ...)
 - The identification of noun groups
 - Compression
- Text structure (chapters, sections, ...)



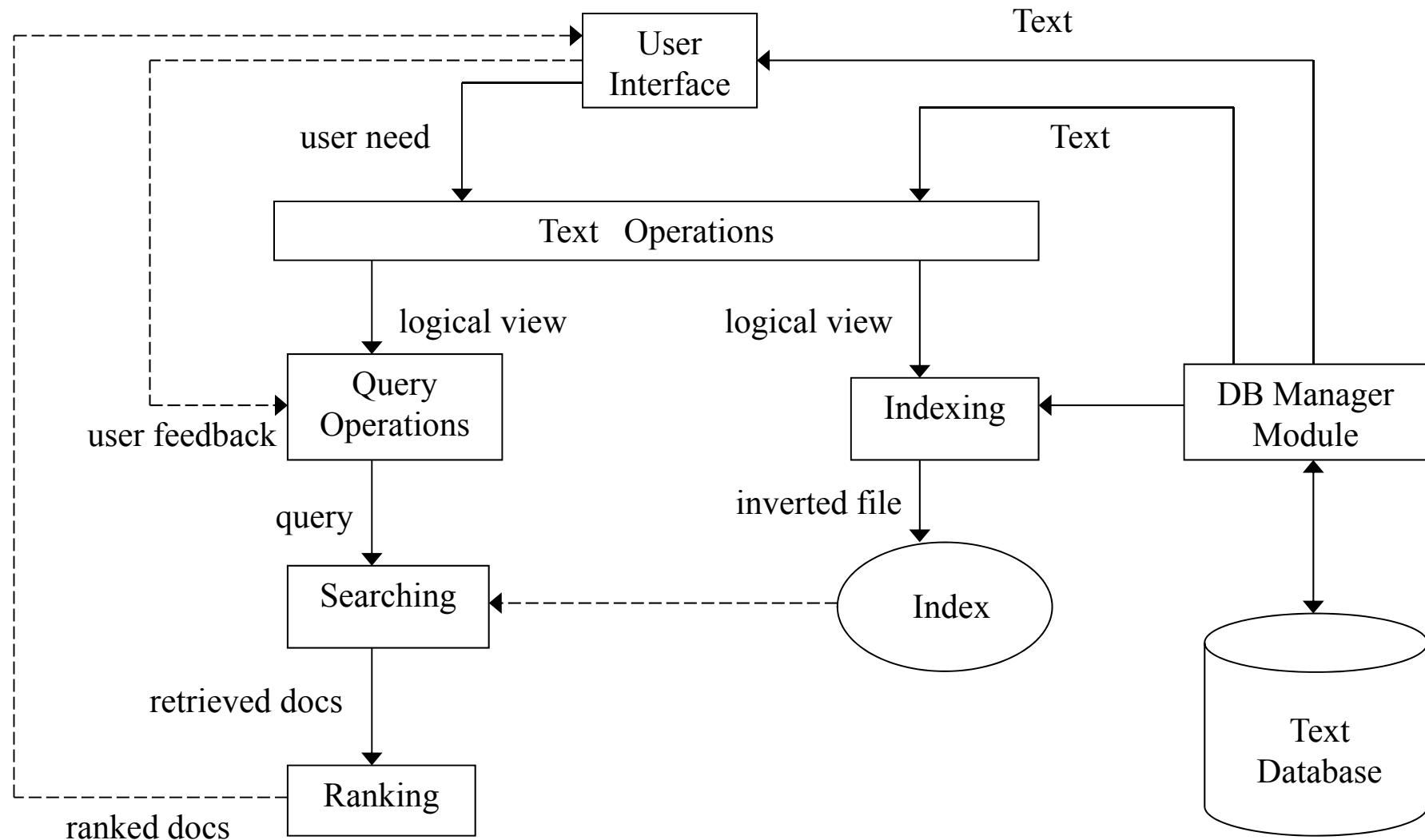
Different Views of the IR Problem

- Computer-centered (commercial perspective)
 - Efficient indexing approaches
 - High-performance ranking (matching) algorithms
 - Human-centered (academic perceptive)
 - Studies of user behaviors
 - Understanding of user needs
- } **Library science
psychology**
-

IR for Web and Digital Libraries

- Questions should be addressed
 - Still difficult to retrieve information relevant to user needs
 - Quick response is becoming more and more a pressing factor (Precision vs. Recall)
 - The user interaction with the system (HCI, Human Computer Interaction)
- Other concerns
 - Security and privacy
 - Copyright and patent

The Retrieval Process (1/2)



The Retrieval Process (2/2)

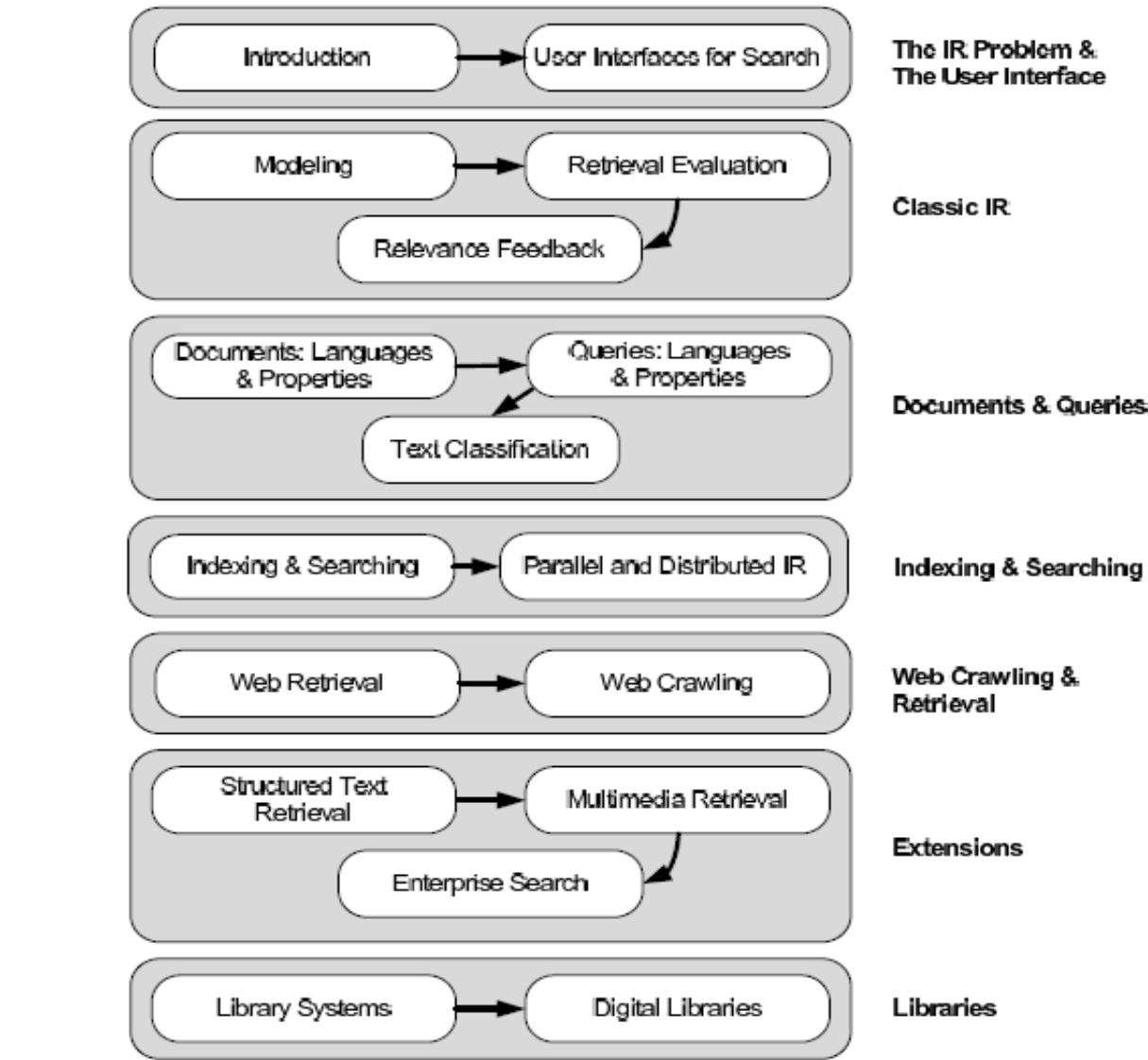
- In current retrieval systems
 - Users almost never declare his information need
 - Only a short queries composed few words (typically fewer than 4 words)
 - Users have no knowledge of the text or query operations

Poor formulated queries lead to poor retrieval !

Major Topics (1/2)

- Text IR
 - Retrieval models, evaluation methods, indexing
- Human-Computer Interaction (HCI)
 - Improved user interfaces and better data visualization tools
- Multimedia IR
 - Text, speech, audio and video contents
 - Multidisciplinary approaches
 - Can multimedia be treated in a unified manner?
- Applications
 - Web, bibliographic systems, digital libraries

Major Topics (2/2)



Some Directions of Information Retrieval

Example of Content	Example of Applications	Examples of Tasks
Text	Web search	Ad hoc search
Images	Vertical search	Filtering
Video	Enterprise search	Classification
Scanned documents	(Personal) Desktop search	Question answering
Audio (Speech & Music)	Peer-to-peer search	

- In the past, most technology for searching non-text document relies on the descriptions of their content rather than the contents themselves
 - The need of “*content-based*” image/audio/music retrieval!
- In **vertical search** the domain of the search is restricted to particular topics
- **Enterprise search** is to find the required information in the huge variety of computer files scattered across a corporate intranet
- **Peer-to-peer search** involves finding information in networks of nodes or computers without any centralized control

IR and Search Engines

Information Retrieval

Relevance

-Effective ranking

Evaluation

-Testing and measuring

Information needs

-User interaction



Search Engines

Performance

*-Efficient search and indexing
(response time throughput,
indexing speech)*

Incorporating new data

-Coverage and freshness

Scalability

-Growing with data and users

Adaptability

*-Tuning for applications
(customizable)*

Specific problems

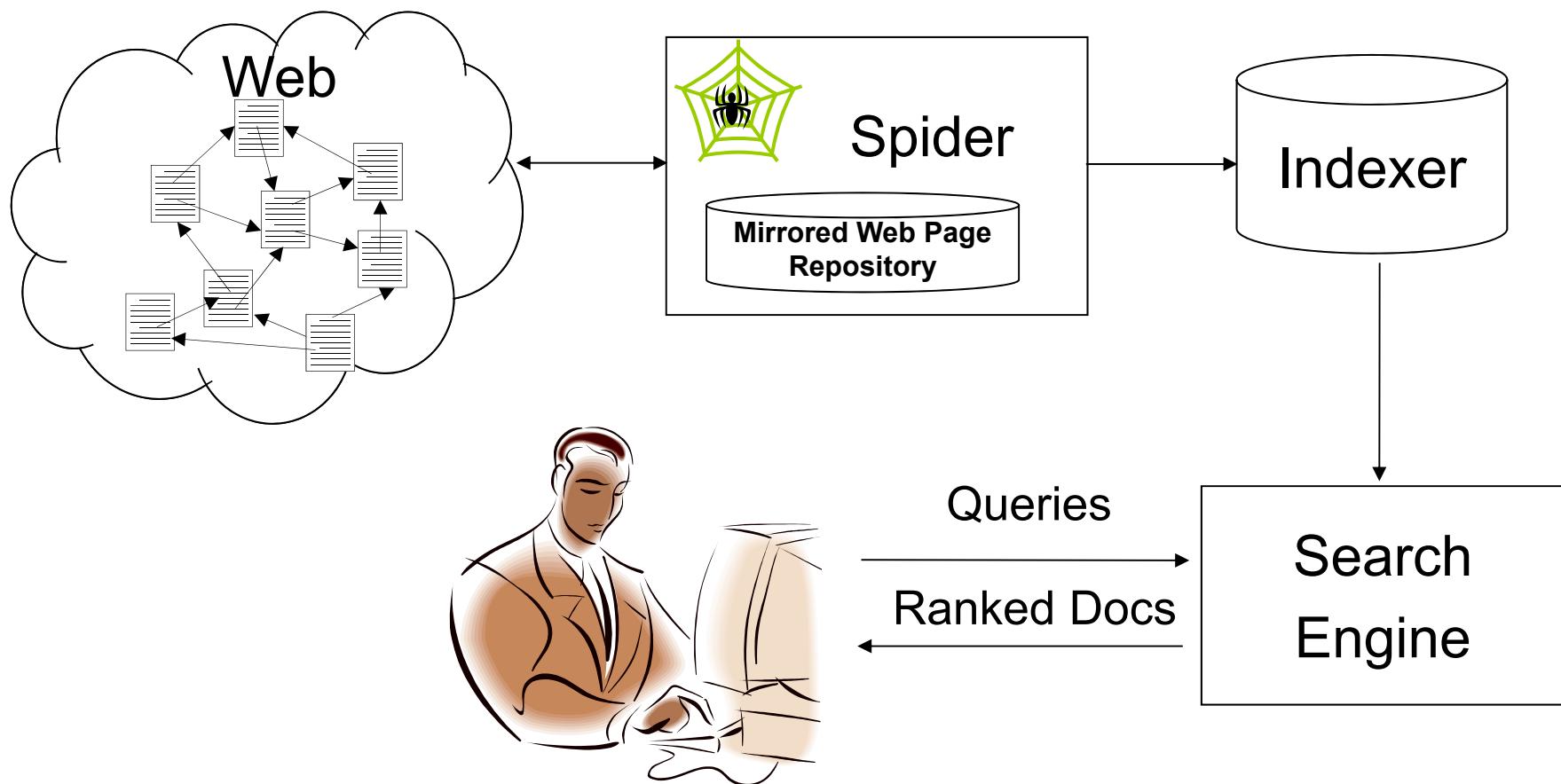
-e.g. Spam

More on Relevance and Retrieval Models

- Relevance
 - Loosely speaking, a relevant document contains the information that a person was looking for when he/she submitted a query to the search engine
 - Simply comparing the text of a query with the text of a document and looking for an exact match produces very poor results in terms of relevance
 - One obvious reason for this is that language can be used to express the same concepts in many different way, often with very different words
- Retrieval models
 - A retrieval model is a formal representation of the process of matching a query and a document
 - It is the basis of the ranking algorithm that is used in a search engine to produce the ranked list of documents

Text Information Retrieval (1/4)

- Internet searching engine



Text Information Retrieval (2/4)

- <http://www.google.com>



Text Information Retrieval (3/4)

- <http://www.openfind.com.tw> (Service is No Longer Available)



Text Information Retrieval (4/4)

- <http://www.baidu.com>

The screenshot shows a Baidu search results page for the query "陈柏琳". The search bar at the top contains "陈柏琳" with a "百度搜索" button. Below the search bar, there are category tabs: 新闻 (News), 网页 (Web Pages) (which is selected and highlighted in blue), 贴吧 (Baidu Tieba), MP3, and 图片 (Images). To the right of the search bar, it says "找到相关网页156篇, 用时0.150秒" (Found 156 related web pages, took 0.150 seconds).

您要找的是不是: 陈柏琳

陈柏琳 (Berlin Chen) 的网页

Welcome to Berlin's Homepage 2004 Berlin Chen, Assistant Professor, Graduate Institute of Computer Science and Information Engineering, National Taiwan Normal University, Taipei, Taiwan, ROC Personal Information My...
www.csie.ntnu.edu.tw/~berlin/ 12K 2004-9-21 繁体 - 百度快照
[www.csie.ntnu.edu.tw 上的更多结果](#)

Berlin Chen (陈柏琳)- Research

邱炫盛、陈柏琳,"垃圾邮件过滤技术之初步研究," 投稿至「第十届人工智慧与应用研讨会」, December 2-... 陈怡婷、黄耀民、叶耀明、陈柏琳,"中文语音文件自动摘要之摘要模型," 投稿至「第十届人工智慧与应用...
140.122.185.120/berlin_research/research_ ... 38K 2005-8-15 繁体 - 百度快照
[140.122.185.120 上的更多结果](#)

百度 choi吧 【Charlene Choi相关电影资料】

的关机仪式,该片导演刘镇伟偕同主演谢霆锋、蔡卓妍、范冰冰、陈柏琳、BOYZ(关智斌、张致恒)、梁洛施、谭耀文、戴娇倩等人盛装出席。>> ... http://ent.tom.com/1636/1637/200517-115930.html 帖子相关图片: 作者: Angel_...
post.baidu.com/?kz=B522392 125K 2005-8-6 - 百度快照

娱乐/中国宁波网

设百度为首页 高级搜索 帮助 | 找到相关网页156篇, 用时0.150秒

找陈柏琳商品在eBay易趣
找陈柏琳创业项目在biz178
访问通用网址陈柏琳
找陈柏琳好项目到e26
DELL电脑低价直销3399起
找陈柏琳创业项目在89178
找陈柏琳项目在创业加盟网
搜陈柏琳在阿里巴巴

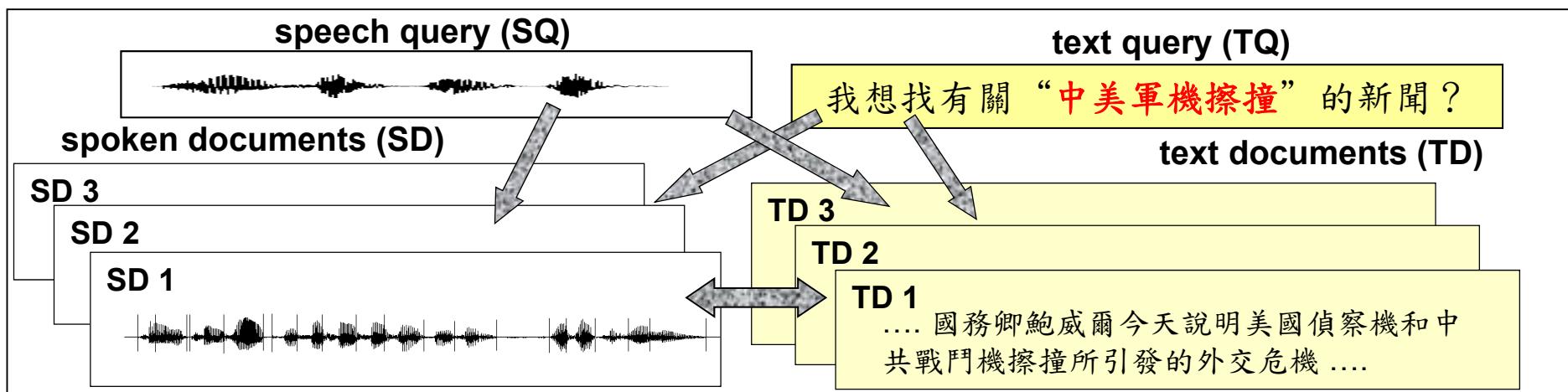
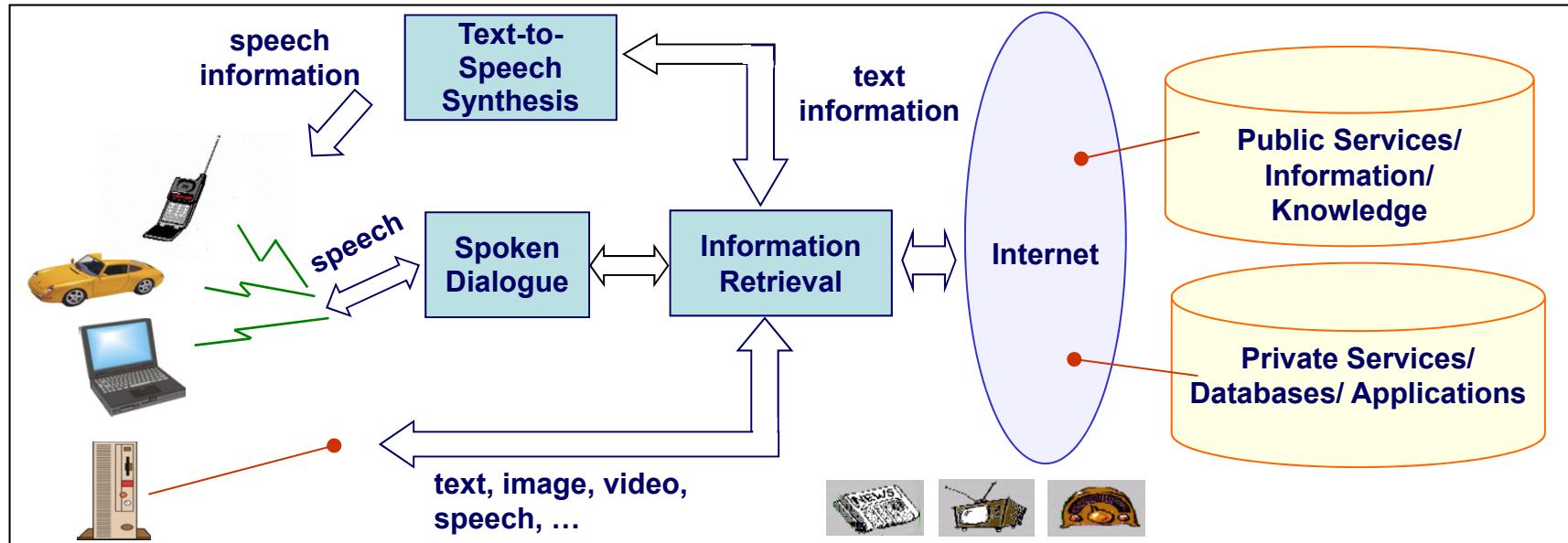
总有一个人知道你问题的答案

发表留言 创建陈柏琳贴吧

有许多话想对这个人说?
赶紧敲下来吧, 让她/他感受一种幸福和惊喜! 您的心意, 将在此一一传递...

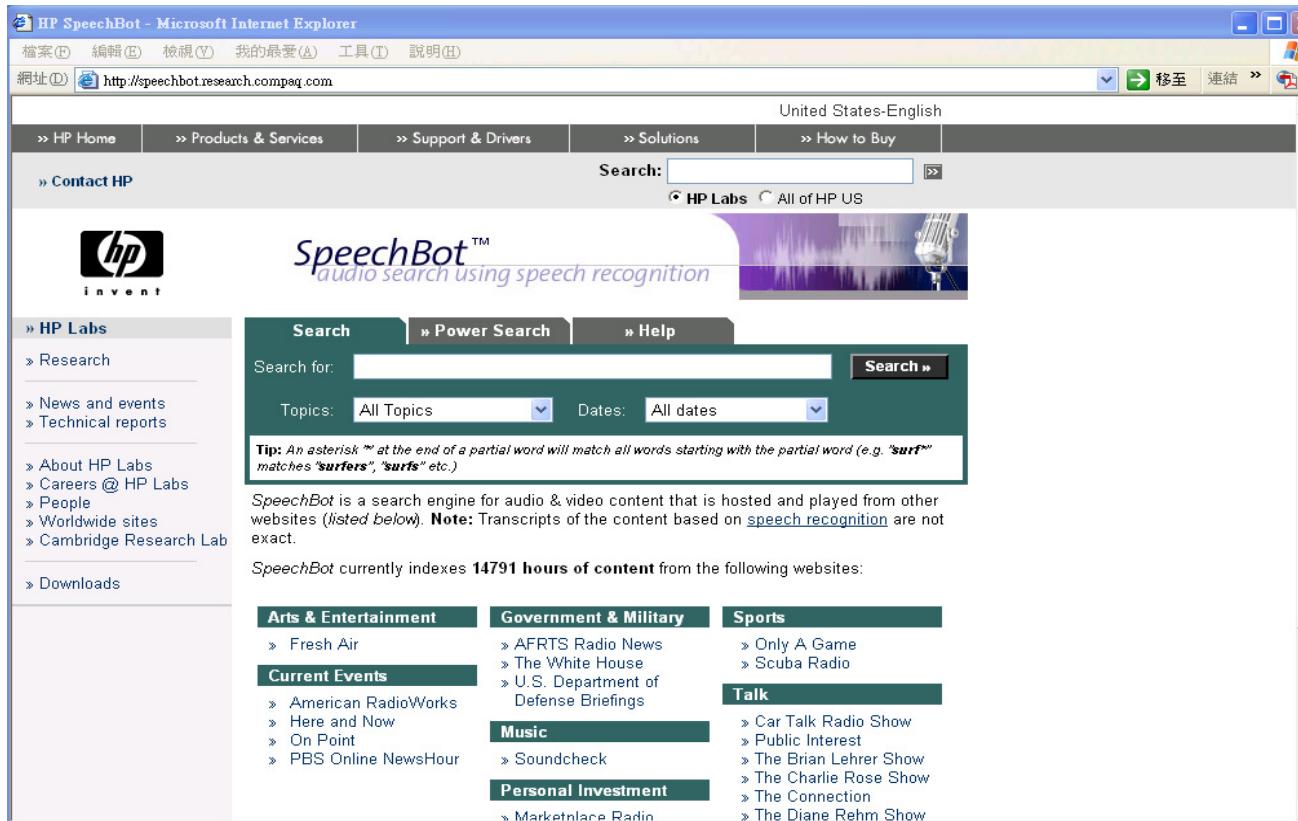
给陈柏琳传情...

Speech Information Retrieval (1/4)



Speech Information Retrieval (2/5)

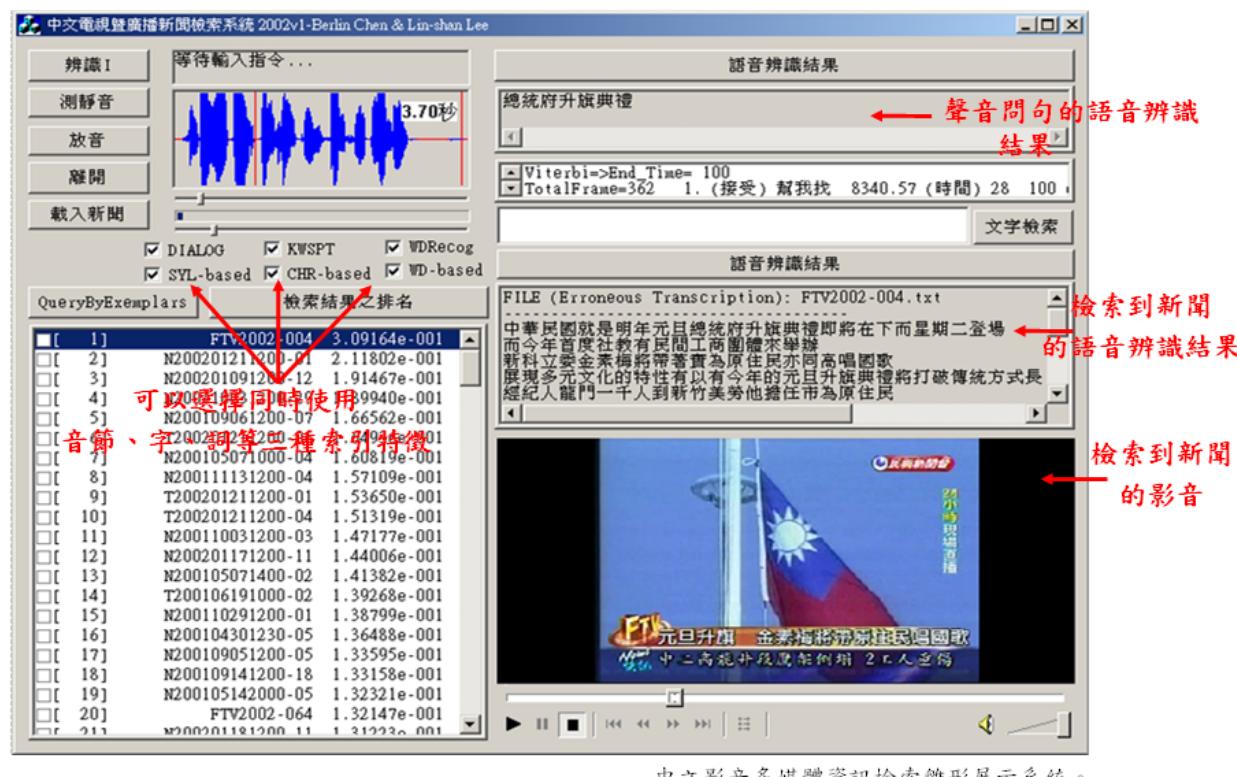
- HP Research Group – Speechbot System
(Service is No Longer Available)
 - Broadcast news speech recognition, Information retrieval, and topic segmentation (SIGIR2001)
 - Currently indexes **14,791 hours of content** (2004/09/22, <http://speechbot.research.compaq.com/>)



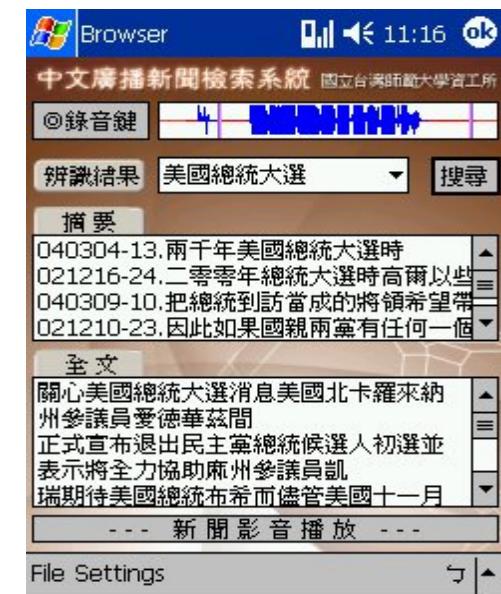
Speech Information Retrieval (3/5)

- Speech Summarization and Retrieval

輸入聲音問句：“請幫我查總統府升旗典禮”



中文影音多媒體資訊檢索離形展示系統。



- B. Chen, H.-M. Wang, L.-S. Lee, "Discriminating capabilities of syllable-based features and approaches of utilizing them for voice retrieval of speech information in Mandarin Chinese," IEEE Transactions on Speech and Audio Processing, July 2002.
- B. Chen, Y.T.Chen, C.-H. Chang, H.-B. Chen, "Speech Retrieval of Mandarin Broadcast News via Mobile Devices," Interspeech 2005

Speech Information Retrieval (4/5)

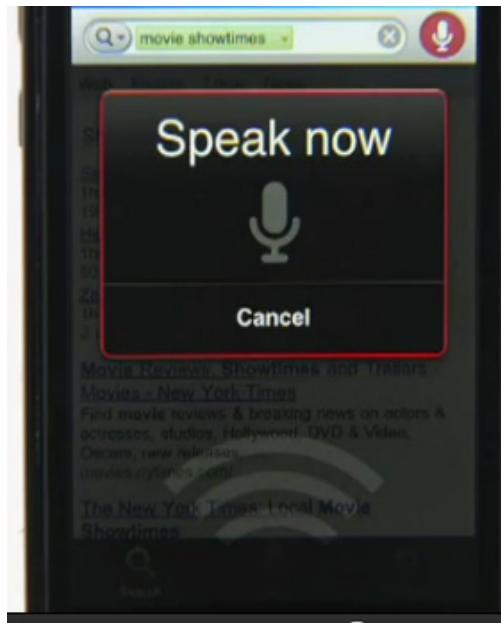
- Speech Organization



- L.-S. Lee and B. Chen, "Spoken document understanding and organization," *IEEE Signal Processing Magazine*, 2005.

Speech Information Retrieval (5/5)

- Google and Apple's Deployed Services



Google Voice Search

<http://www.google.com/mobile/voice-search/>



Apple Siri

<http://www.apple.com/iphone/features/siri.html>

Visual Information Retrieval (1/4)

- Content-based approach

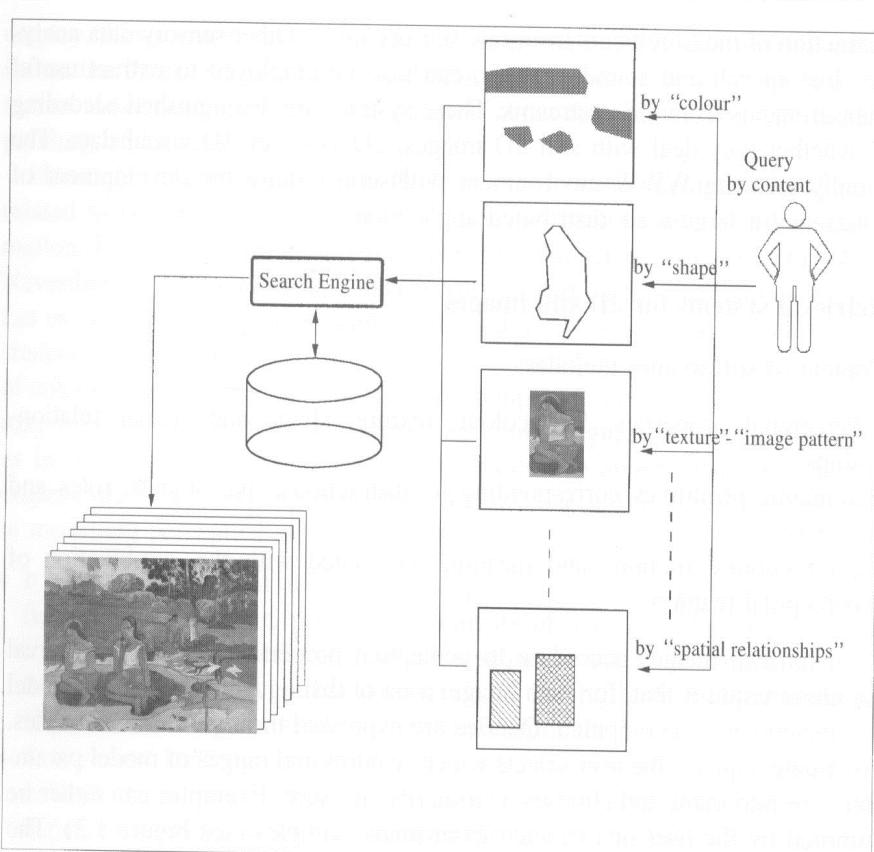


Figure 1.2 Different types of query by example.

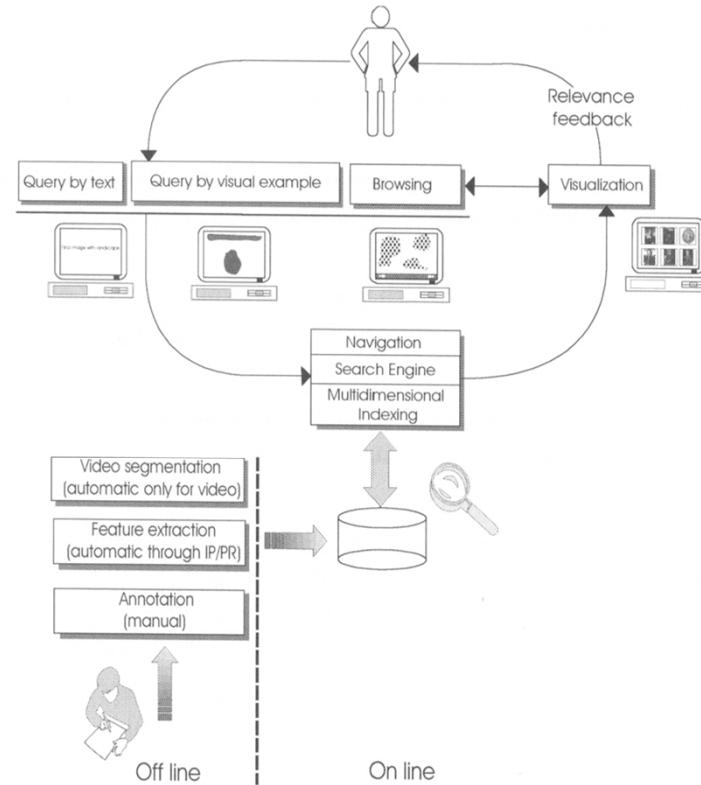
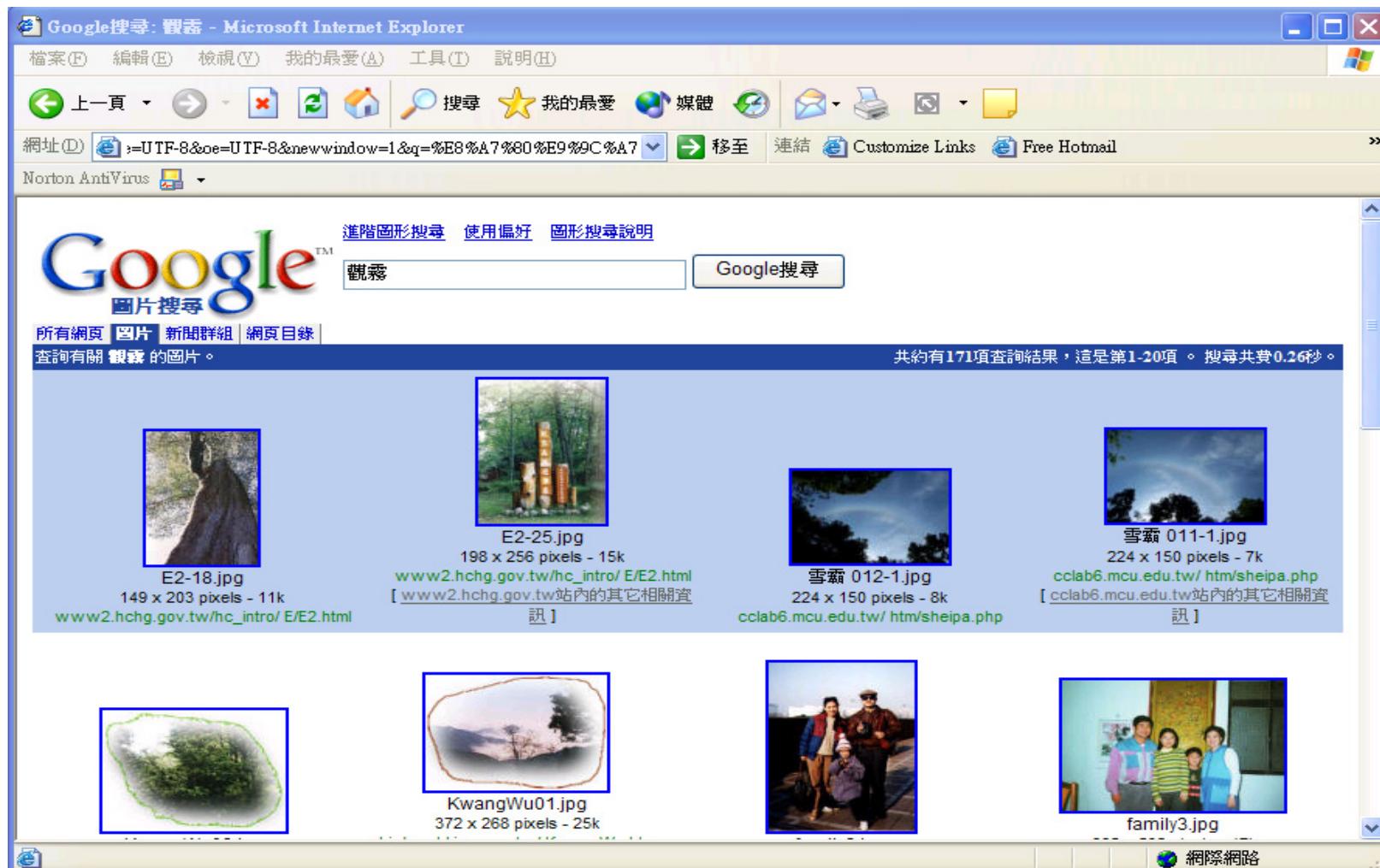


Figure 1.5 Sketch of a new-generation visual information retrieval system for video.

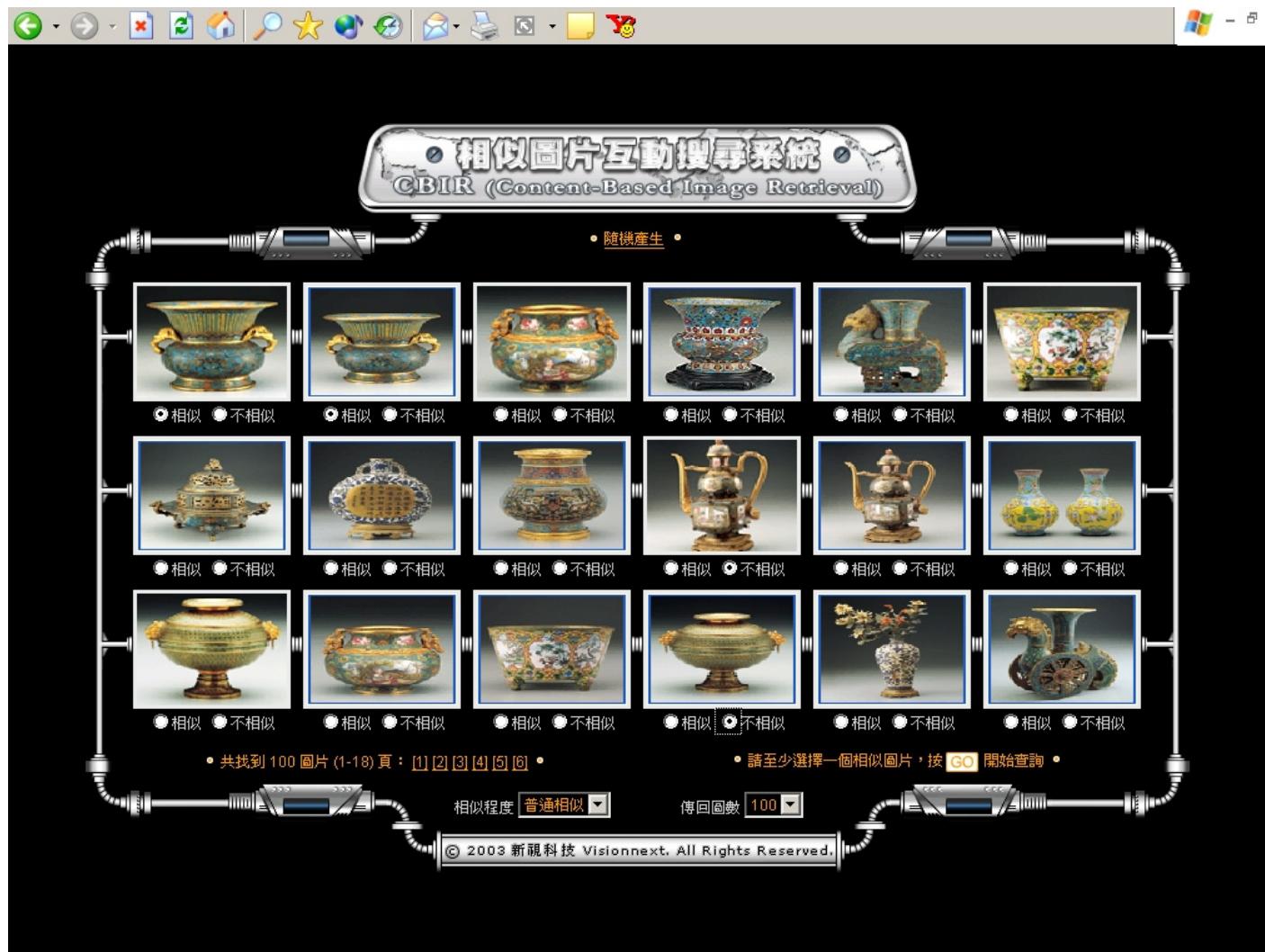
Visual Information Retrieval (2/4)

- Images with Texts (Metadata)

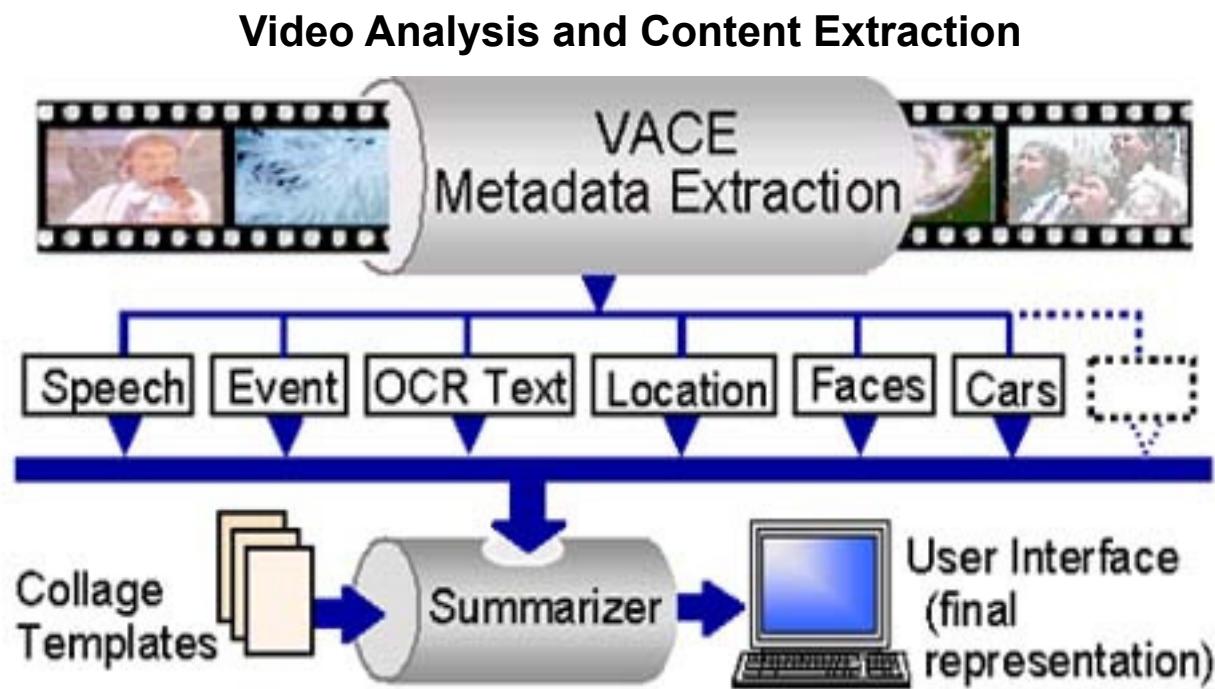


Visual Information Retrieval (3/4)

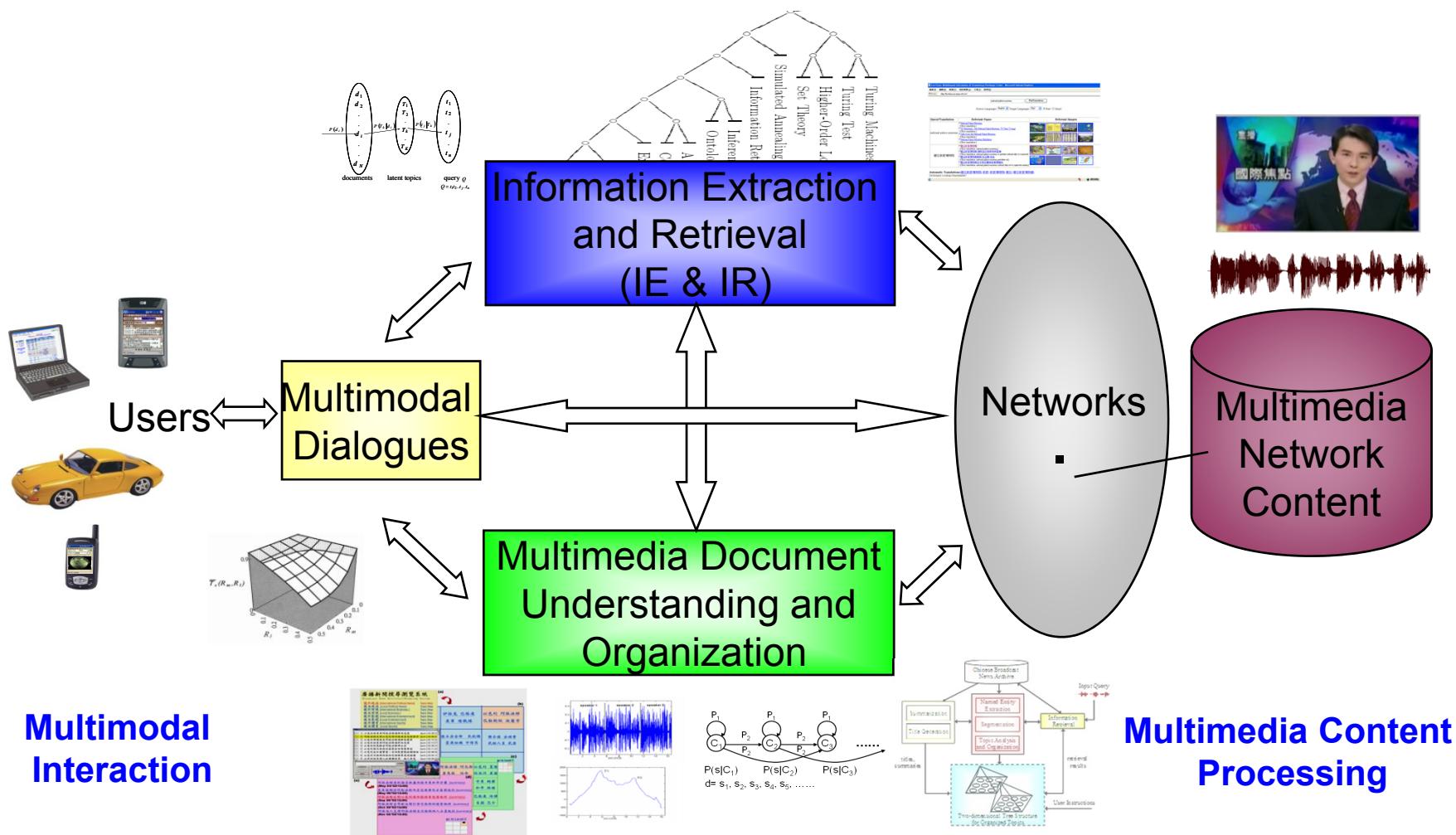
- Content-based Image Retrieval



Visual Information Retrieval (4/4)



Scenario for Multimedia information access

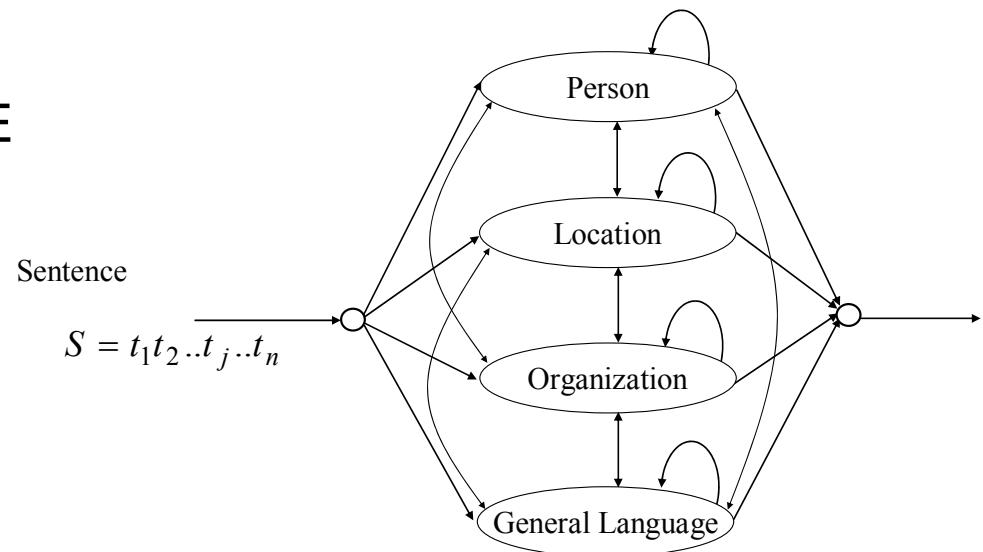


Other IR-Related Tasks

- Information filtering and routing
- Term/Document categorization
- Term/Document clustering
- Crosslingual information retrieval
- Information extraction
- Document summarization
- Question answering
 - *“What is the height of Mt. Everest?”*
-

Information Extraction

- E.g., Named-Entity Extraction
 - NE has its origin from the Message Understanding Conferences (MUC) sponsored by U.S. DARPA program
 - Began in the 1990's
 - Aimed at extraction of information from text documents
 - Extended to many other languages and spoken documents (mainly broadcast news)
 - Common approaches to NE
 - Rule-based approach
 - Model-based approach
 - Combined approach



Cross-lingual Information Retrieval

- E.g., Automatic Term Translation
 - Discovering translations of unknown query terms in different languages
 - E.g., The Live Query Term Translation System (LiveTrans) developed at Academia Sinica/by Dr. Chien Lee-Feng

Machine-Extracted Translation

Automatic Translations: 國立故宮博物院; 故宮; 故宮博物院; 國立; 國立故宮博物館;
Dictionary Lookup:Unavailable!

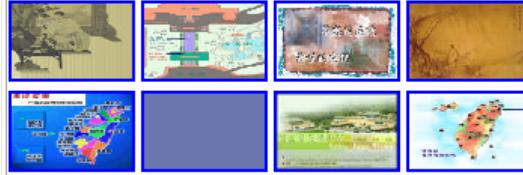
LiveTrans: Multilingual Information & Terminology Exchange Center - Microsoft Internet Explorer

檔案(F) 編輯(E) 檢視(V) 我的最愛(A) 工具(I) 說明(H)

網址(D) http://livetrans.iis.sinica.edu.tw/

national palace museum FindTranslations

Source Language: English Target Language: Big5 Fast Smart

Query/Translation	Relevant Pages	Relevant Images
national palace museum	<ul style="list-style-type: none">* National Palace Museum [Gloss translation:]* TIT Museums: The National Palace Museum: 70 Years Young! [Gloss translation:]* Jades from the National Palace Museum [Gloss translation:]* National Palace Museum Exhibition [Gloss translation:]	
國立故宮博物院	<ul style="list-style-type: none">* 國立故宮博物院 [Gloss translation: national palace museum,]* 國立故宮博物院 預防性文物保存研習會 [Gloss translation: national palace museum to prevent cultural relic to conserve]* 國立故宮博物院院長 杜正勝 先生 [Gloss translation: national palace museum president sir]* 國立故宮博物院古文物及藝術品管理辦法 [Gloss translation: national palace museum cultural relic art to supervise means]	

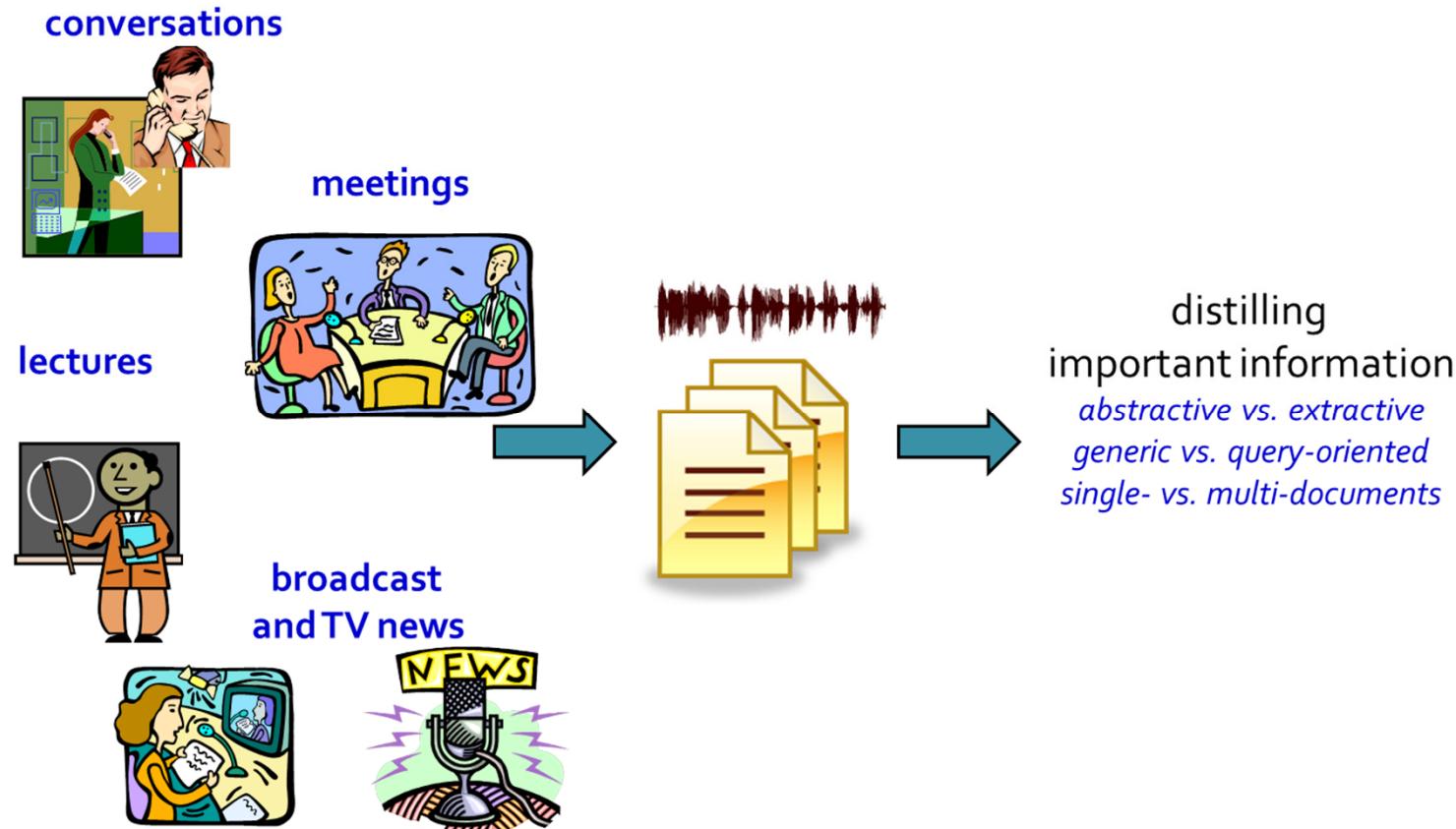
IR – Berlin Chen 42

Document Summarization (1/2)

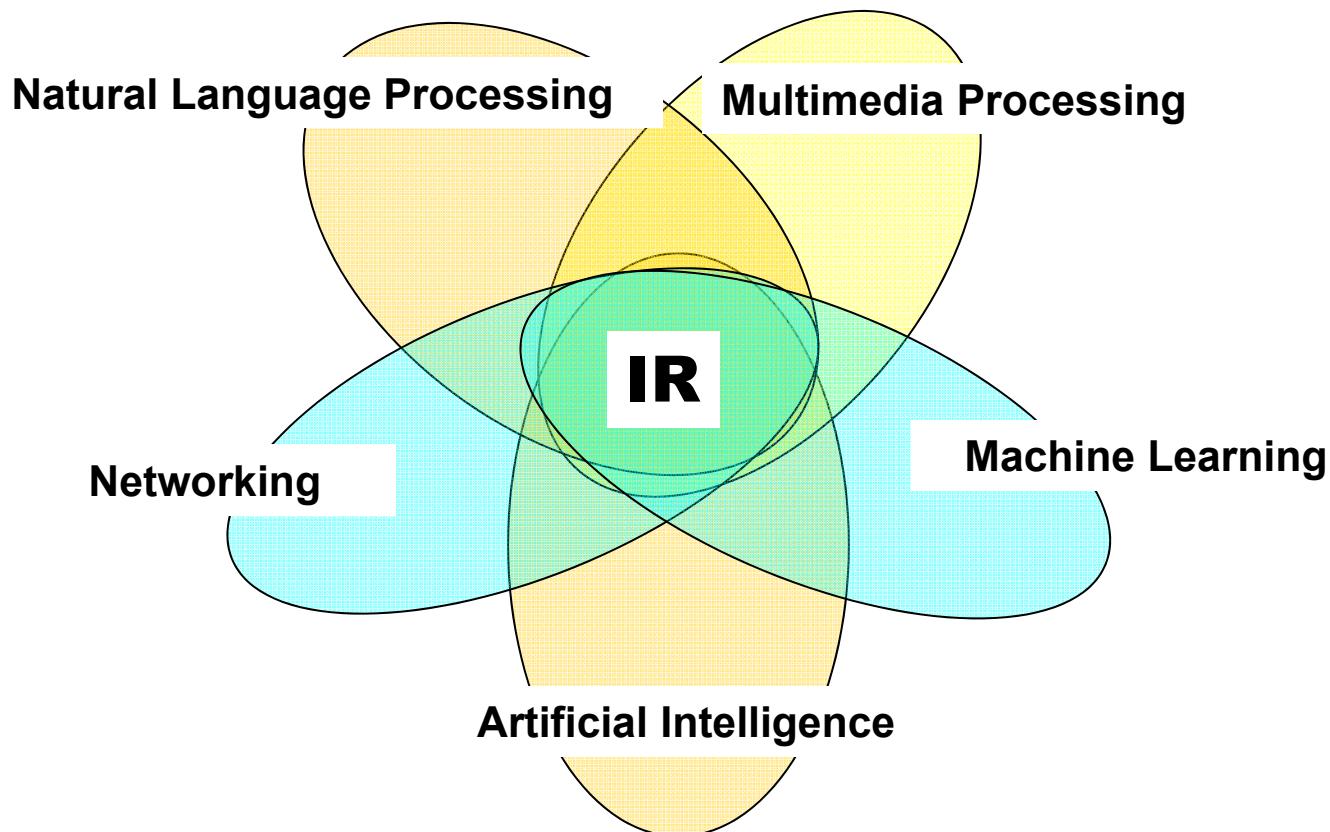
- Audience
 - Generic summarization
 - User-focused summarization
 - Query-focused summarization
 - Topic-focused summarization
- Function
 - Indicative summarization
 - Informative summarization
- Extracts vs. abstracts
 - Extract: consists wholly of portions from the source
 - Abstract: contains material which is not present in the source
- Output modality
 - Speech-to-text summarization
 - Speech-to-speech summarization
- Single vs. multiple documents

Document Summarization (2/2)

- Speech Summarization

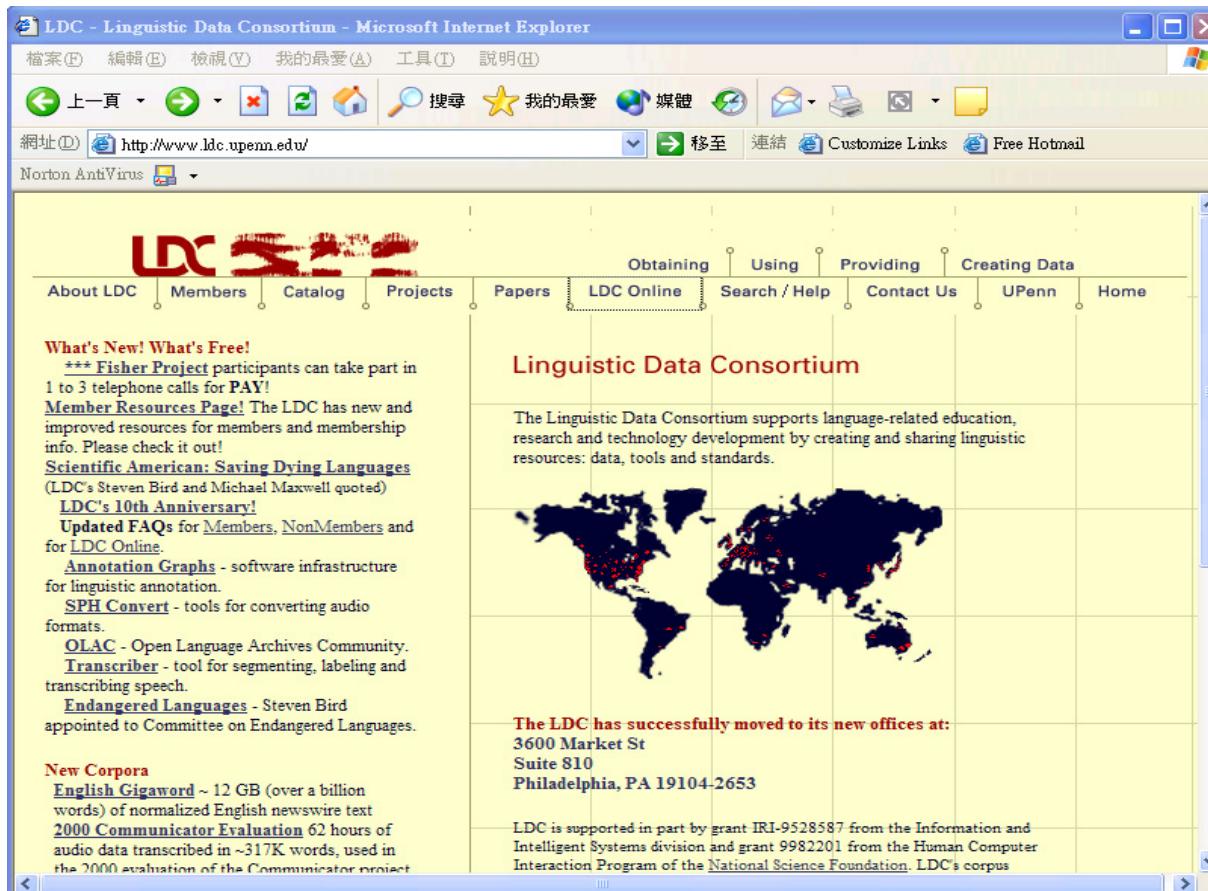


Multidisciplinary Approaches



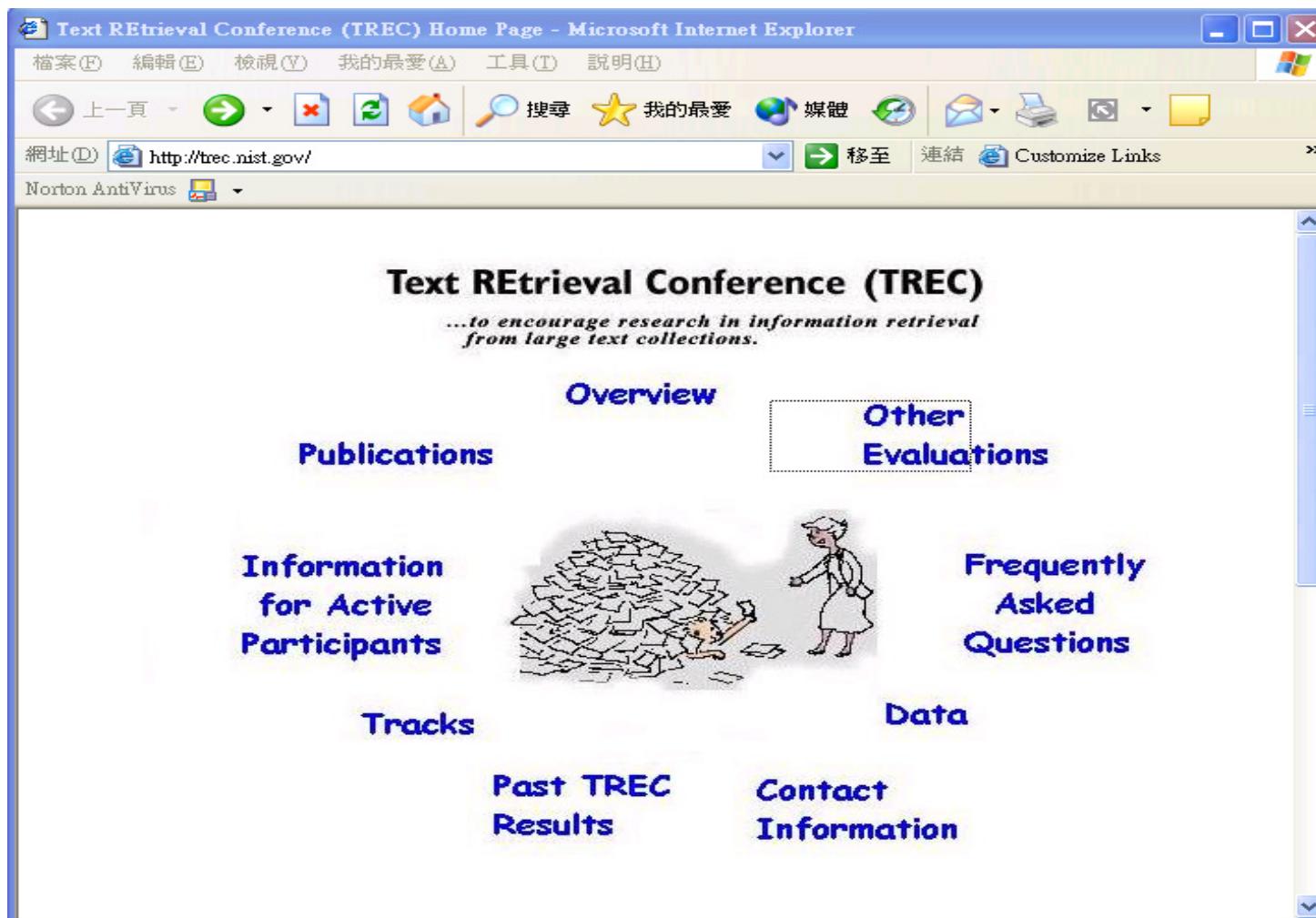
Resources

- Corpora (Speech/Language resources)
 - Refer speech waveforms, machine-readable text, dictionaries, thesauri as well as tools for processing them
 - [LDC - Linguistic Data Consortium](#)



Contests (1/2)

- Text REtrieval Conference (TREC)



Contests (2/2)

- US National Institute of Standards and Technology

The screenshot shows a Microsoft Internet Explorer window displaying the NIST Benchmark Tests website. The page lists various speech recognition contests organized by the National Institute of Standards and Technology.

Conversational Telephone Recognition

- 2001 HUB-5 Evaluation Plan, multiple languages
- 2000 HUB-5 Evaluation Plan, multiple languages
- 1998 HUB-5 English Evaluation
- 1997 HUB-5NE Evaluation
- 1997 HUB-5E Evaluation
- 1998 Speaker Recognition Evaluation
- 1998 Speaker Recognition Evaluation
- 1997 Speaker Recognition Evaluation
- 1996 Speaker Recognition Evaluation

Topic Detection and Tracking (TDT)

- General Information
- TDT 2004 Evaluation
- TDT 2003 Evaluation
- TDT 2002 Evaluation
- TDT 2001 Evaluation
- TDT 2000 Evaluation
- 1999 TDT3 Evaluation
- 1998 TDT2 Evaluation
- 2002 ACE-Evaluation
- 2001 ACE-Evaluation
- 2000 ACE - Evaluation
- 1999 Information Extraction - Entity Recognition Evaluation

Information Extraction - Entity Recognition:

- 2002 ACE-Evaluation
- 2001 ACE-Evaluation
- 2000 ACE - Evaluation
- 1999 Information Extraction - Entity Recognition Evaluation

Spoken Document Retrieval

- 2000 TREC Spoken Document Retrieval Track Evaluation
- 1999 TREC Spoken Document Retrieval Track Evaluation
- 1998 TREC Spoken Document Retrieval Track Evaluation
- 1997 TREC Spoken Document Retrieval Track Evaluation

Machine Translation

- General Information

Conferences/Journals

- Conferences
 - ACM Annual International Conference on Research and Development in Information Retrieval (SIGIR)
 - ACM Conference on Information Knowledge Management (CIKM)
 - ...
- Journals
 - Journal of the American Society for Information Science (JASIS)
 - ACM Transactions on Information Systems (TOIS)
 - Information Processing and Management (IP&M)
 - ACM Transactions on Asian Language Information Processing (TALIP)
 - ...

Tentative Topic List

Course Overview & Introduction

Retrieval Models (I) - Classic Retrieval Models (Boolean, Vector Space and Probabilistic Models)

Retrieval Performance Evaluation - Measures

Retrieval Performance Evaluation - Collections

Retrieval Models (II) - Improved Approaches (Fuzzy Set, Extended Boolean, Generalized Vector Space Models)

Query Operations (Query Expansion and Term Re-weighting)

Retrieval Models (III) - Latent Semantic Analysis (LSA)

Retrieval Models (IV) - Language Models

Retrieval Models (V) - Learning to Rank

Clustering for Information Retrieval

Classification for Information Retrieval

Efficient Indexing and Searching

Web Search Basics

Cross-lingual Information Retrieval

Spoken Document Recognition, Retrieval and Summarization

Grading (Tentative)

- Midterm (or Final): 45%
- Homework/Projects: 30%
- Presentation: 15%
- Attendance/Other: 10%